



Docket No.: H0630.0003/P003  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Robert J. Hales

Application No.: 09/897,429

Group Art Unit: N/A

Filed: July 3, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR NETWORK  
INFRASTRUCTURE MANAGEMENT

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date  
Granted mailed August 30, 2001, Applicant respectfully submits a Declaration, Filing Fee  
and Extra Claims Fee.

Our check in the amount of \$1,366.00 covering the fee set forth in 37 C.F.R.  
§ 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in  
the fees filed, asserted to be filed or which should have been filed herewith (or with any

paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. H0630.0003/P003. A duplicate copy of this paper is enclosed.

Dated: September 26, 2001

Respectfully submitted,

By 

Michael Bergman

Registration No.: 42,318

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 777-2588

Attorneys for Applicant

~~SECRET~~

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



<b>FEE TRANSMITTAL for FY 2001</b>		<i>Complete if Known</i>	
		Application Number	09/897,429 ✓
		Filing Date	July 3, 2001 ✓
		First Named Inventor	Robert J. Hales ✓
		Examiner Name	Not Yet Assigned ✓
		Group Art Unit	N/A ✓
<b>TOTAL AMOUNT OF PAYMENT</b>		Attorney Docket No.	H0630.0003/P003
		( <b>\$</b> )	1,406.00 ✓

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number:

Deposit Account Name:

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17       Applicant claims small entity status. See 37 CFR 1.27

2.  **Payment Enclosed**

Check     Credit Card     Money Order     Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(<b>\$</b>) 710.00</b>

**2. EXTRA CLAIM FEES**

Total Claims	<input type="text" value="27"/>	-20 =	<input type="text" value="7"/>	X	<input type="text" value="18.00"/>	=	<input type="text" value="126.00"/>
Independent Claims	<input type="text" value="8"/>	-3 =	<input type="text" value="5"/>	X	<input type="text" value="80.00"/>	=	<input type="text" value="400.00"/>
Multiple Dependent						=	

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(<b>\$</b>) 526.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>					<b>(<b>\$</b>) 170.00</b>

<b>SUBMITTED BY</b>		<i>Complete (if applicable)</i>	
Name (print/type)	Michael Bergman	Registration No. (Attorney/Agent)	42,318
Signature		Telephone	(202) 777-2588
		Date	September 26, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,429	07/03/2001	Robert J. Hales	H0630.0003/P003

**CONFIRMATION NO. 8337**

24998  
 DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
 2101 L STREET NW  
 WASHINGTON, DC 20037-1526

**FORMALITIES LETTER**



Date Mailed: 08/30/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$526.
  - \$126 for 7 total claims over 20.
  - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1366.**

*A copy of this notice MUST be returned with the reply.*

*Thomas A. Pech*  
 \_\_\_\_\_  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

09/03/2001 09:00:00 09097429  
 710.00 BP  
 400.00 BP  
 130.00 BP  
 126.00 BP